

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. Application of: Hideki KAWAI
For: GLASS SUBSTRATE FOR AN INFORMATION
RECORDING MEDIUM AND INFORMATION
RECORDING MEDIUM EMPLOYING IT
Confirmation No.: 8210
U.S. Application No.: 10/784,447
Filed: February 23, 2004
Group Art Unit: 1755
Examiner: To Be Assigned

MAIL STOP AMENDMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)(3)

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56 and § 1.97(e), Applicant wishes to bring the following items to the attention of the Examiner. A copy of the foreign item is enclosed for the convenience of the Examiner. No copy of the U.S. document is provided as the Patent Office has waived the requirement under 37 C.F.R. 1.98.

No representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of this material in any way reflects its relative pertinence. Specifically, this presentation is not an admission that the items listed below are properly citable against the above-identified application.

Submitted herewith is:

Application No.: 10/784,447

- (1) Chinese "The First Office Action", dated July 27, 2007, for counterpart Chinese Patent Application No. 200480032531.3; Together with an English-translation of the text of the First Office Action;
- (2) Japanese Patent Application Laid-Open No. (A) 2000-207730, (ISHIZUKA GLASS CO LTD), published July 28, 2000;
- (3) Beall et al, U.S. Patent No. 5,476,821, issued December 19, 1995; and
- (4) Watanabe et al, U.S. Patent No. 6,312,841 B1, issued November 6, 2001.

The above references (2)-(4) are cited in item (1), "The First Office Action", which indicates the degree of relevance found by the foreign office. No copy of documents (2) and (4) are provided as these documents were included in the Supplemental Information Disclosure Statement mailed June 28, 2007 and filed with the Patent Office on July 2, 2007.

In accordance with 37 C.F.R. § 1.97(b)(3), the Supplemental Information Disclosure Statement is believed to be submitted prior to the mailing of a first Office Action on the merits. Moreover, in accordance with 37 C.F.R. § 1.97(c)(1) and 37 C.F.R. § 1.97(e)(1) it is hereby certified that each item of information contained in the Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the Supplemental Information Disclosure Statement. Accordingly, no fee is incurred. If it should be determined that a fee is required, please charge any required fee (other than the issue fee) during the pendency of this application to Sidley Austin LLP's Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

Respectfully submitted,

By: Thomas N. Tarnay/ Reg. No. 41,341

Thomas N. Tarnay
Registration No. 41,341
Attorney for Applicant

Application No.: 10/784,447

TNT/llb
SIDLEY AUSTIN LLP
717 North Harwood
Suite 3400
Dallas, Texas 75201
(214) 981-3388 Direct
(214) 981-3300 Main
(214) 981-3400 Facsimile
September 21, 2007